

MSEI Symbol	
Scrip code	538772
NSE Symbol	
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ISIN	INE480D01010
Name of the entity	Niyogin Fintech Limited
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Yearly
Date of Report	31-03-2018
Risk management committee	Not Applicable

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Is there any change in information of board of directors compare to previous quarter Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Makarand Patankar	AGMPP1820H	01584128	Executive Director	Not Applicable		28-09-2017			1	2	0		
2	Mr	Kapil Kapoor	AGIPK8588K	00178966	Non-Executive - Independent Director	Not Applicable		05-12-2016		16	2	2	1		
3	Mr	Amit Vijay Rajpal	AACPR4493G	07557866	Non-Executive - Non Independent Director	Chairperson		05-12-2016			1	0	0		
4	Ms	Sucheta Dalal	ADYPD7081P	01351168	Non-Executive - Independent Director	Not Applicable		05-12-2016		16	1	2	1		

Annexure I**Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Ms	Sutapa Banerjee	AGBPB6003B	02844650	Non-Executive - Independent Director	Not Applicable		05-12-2016		16	4	3	1		

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	Yes

Audit Committee Details					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	02844650	Sutapa Banerjee	Non-Executive - Independent Director	Chairperson	
2	01584128	Makarand Patankar	Executive Director	Member	
3	01351168	Sucheta Dalal	Non-Executive - Independent Director	Member	

Nomination and remuneration committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	00178966	Kapil Kapoor	Non-Executive - Independent Director	Chairperson	
2	02844650	Sutapa Banerjee	Non-Executive - Independent Director	Member	
3	07557866	Amit Vijay Rajpal	Non-Executive - Non Independent Director	Member	

Stakeholders Relationship Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01351168	Sucheta Dalal	Non-Executive - Independent Director	Chairperson	
2	00178966	Kapil Kapoor	Non-Executive - Independent Director	Member	
3	01584128	Makarand Patankar	Executive Director	Member	

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	07557866	Amit Vijay Rajpal	Non-Executive - Non Independent Director	Chairperson	
2	01584128	Makarand Patankar	Executive Director	Member	
3	01351168	Sucheta Dalal	Non-Executive - Independent Director	Member	

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	30-10-2017		
2		07-02-2018	99

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	07-02-2018	Yes		30-10-2017	99	
2	Nomination and remuneration committee	07-02-2018	Yes				

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	No	The transaction was ratified by the Board of Directors at their next Board meeting & was at arm's length basis.
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Mandar Godbole
2	Designation	Company Secretary and Compliance Office

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://www.niyogin.in/company
2	Terms and conditions of appointment of independent directors	Yes		https://www.niyogin.in/documents/20143/123273/Appointment+Letter+-+Directors.pdf/9609d743-31fb-7aa1-0dfc-7ec163e5dde6
3	Composition of various committees of board of directors	Yes		https://www.niyogin.in/investors
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.niyogin.in/documents/20143/123269/Code+of+Conduct+for+Management+and+Senior+Employees.pdf/cbd31715-2391-34ff-096a8f4ff622
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.niyogin.in/documents/20143/123269/Policy+on+Vigil+Mechanism.pdf/3a97635c-df87-20f3-346e-0d42f46df
6	Criteria of making payments to non-executive directors	Yes		https://www.niyogin.in/documents/20143/123269/Nomination+%26+Remuneration+Policy.pdf/210e92fd-24f1-4fe3-436e-64dc5b740226
7	Policy on dealing with related party transactions	Yes		https://www.niyogin.in/documents/20143/123269/Related+Party+Transaction+Policy.pdf/e5e2bd7a-1fbf-4269-c0c0-1a89376a205e
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	No	The Company is in the process of formulating a Policy on the same which would get approved at the earliest	

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.niyogin.in/documents/20143/123273/Investor+Grievance+Redressal+Officer.pdf/8cbaafa2-f2e1-9276-e526-31ffc574e3d
11	email address for grievance redressal and other relevant details	Yes		https://www.niyogin.in/documents/20143/123273/Investor+Grievance+Redressal+Officer.pdf/8cbaafa2-f2e1-9276-e526-31ffc574e3d
12	Financial results	Yes		https://www.niyogin.in/investors
13	Shareholding pattern	Yes		https://www.niyogin.in/investors
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	Yes		https://www.niyogin.in/

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25 (6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	NA	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3), (4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6), (7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	No	There was only one Related Party Transaction. And the transaction was ratified by the Board at its next Board meeting & was at arm's length basis.
20	Approval for material related party transactions	23(4)	Yes	

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	No	The Company is in the process of formulating a Policy on the same which would get approved at the earliest
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	
2	Designation	

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Annexure II

Annexure II		
1	Name of signatory	Mandar Godbole
2	Designation	Company Secretary and Compliance Office

Signatory Details

Name of signatory	Mandar Godbole
Designation of person	Company Secretary and Compliance Office
Place	Mumbai
Date	13-04-2018

